



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

	<b>CONTINUED PROSECUTION APPLICATION (CPA)</b> <b>REQUEST TRANSMITTAL</b> Submit an original, and a duplicate for processing (Only for Continuation or Divisional applications under 37 CFR § 1.53(d))		BOX SE 2 CHECK BOX if applicable: <input type="checkbox"/> DUPLICATE									
	Address to:  Assistant Commissioner for Patents Box CPA Washington, DC 20231		<table border="1"> <tr> <td>Attorney Docket No.</td> <td>1488.1040000/EKS/AJK</td> </tr> <tr> <td>First Named Inventor</td> <td>Jing-Shan HU</td> </tr> <tr> <td>Examiner Name</td> <td>Saoud, C.</td> </tr> <tr> <td>Group/Art Unit</td> <td>1801</td> </tr> <tr> <td>Express Mail Label No.</td> <td></td> </tr> </table>	Attorney Docket No.	1488.1040000/EKS/AJK	First Named Inventor	Jing-Shan HU	Examiner Name	Saoud, C.	Group/Art Unit	1801	Express Mail Label No.
Attorney Docket No.	1488.1040000/EKS/AJK											
First Named Inventor	Jing-Shan HU											
Examiner Name	Saoud, C.											
Group/Art Unit	1801											
Express Mail Label No.												

This is a request for a ☒ continuation or ☐ divisional application under 37 CFR § 1.53(d), (continued prosecution application (CPA)) of the prior application number 08 /469,641, filed on June 6, 1995 entitled: Polynucleotides Encoding Vascular Endothelial Growth Factor 3 Polypeptides and Methods for Producing the Polypeptides (As Amended).

## NOTES

**FILING QUALIFICATIONS:** The prior application identified above must be a nonprovisional application that is either: (1) complete as defined by 37 CFR § 1.51(b) or (2) the national stage of an international application in compliance with 35 U.S.C. 371. A Notice will be placed on a patent issuing from a CPA, except for reissues and designs, to the effect that the patent issued on a CPA and is subject to the twenty-year term provisions of 35 U.S.C. § 154(a)(2). Therefore, the prior application of a CPA may have been filed before, on or after June 8, 1995.

**C-I-P NOT PERMITTED:** A continuation-in-part application cannot be filed as a CPA under 37 CFR § 1.53(d), but must be filed under 37 CFR § 1.53(b).

**EXPRESS ABANDONMENT OF PRIOR APPLICATION:** The filing of this CPA is a request to expressly abandon the prior application as of the filing date of the request for a CPA. 37 CFR § 1.53(b) must be used to file a continuation, divisional, or continuation-in-part of an application that is not to be abandoned.

**ACCESS TO PRIOR APPLICATION:** The filing of this CPA will be construed to include a waiver of confidentiality by the application under 35 U.S.C. 122 to the extent that any member of the public who is entitled under the provisions of 37 CFR § 1.14 to access to, copies of, or information concerning, the prior application may be given similar access to, copies of, or similar information concerning, the other application or applications in the file jacket.

**35 U.S.C. 120 STATEMENT:** In a CPA, no reference to the prior application is needed in the first sentence of the specification and none should be submitted. If a sentence referencing the prior application is submitted, it will not be entered. A request for a CPA is the specific reference required by 35 U.S.C. 120 and to every application assigned the application number identified in such request, 37 CFR § 1.78(a).

 03/23/1998 PWILSON 00000036 DHH:190036 08469641  
 01 FC:103

- ☐ 264,291 (B) the unentered amendment previously filed on \_\_\_\_\_ under 37 CFR § 1.116 in the prior nonprovisional application.
- ☒ A preliminary amendment is enclosed.
- This application is filed by fewer than all the inventors named in the prior application, 37 CFR § 1.53(d)(4).
  - ☐ DELETE the following inventor(s) named in the prior nonprovisional application:  
 .....  
 .....
  - ☐ The inventor(s) to be deleted are set forth in a separate sheet attached hereto.
- ☐ A new power of attorney or authorization of agent (PTO/SB/81) is enclosed.
- Information Disclosure Statement (IDS) is enclosed:
  - ☐ Copies of IDS citations

 03/16/1998 MPEOPLES 01000118 PRC08449  
 01 FC:131 790.00 NP

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
	TOTAL CLAIMS (37 CFR § 1.16(c) or (j))	33-20* =	13	x \$ 22.00 =	\$ 286.00
	INDEPENDENT CLAIMS (37 CFR § 1.16(b) or (i))	3-3** =	0	x \$ 82.00 =	\$ 00.00
	MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR § 1.16(d))			x \$ 270.00 =	\$ 00.00
				BASIC FEE (37 CFR §1.16)	\$ 790.00
		Total of above Calculations =			\$1076.00
	Reduction by 50% for filing by small entity (Note 37 CFR §§ 1.9, 1.27, 1.28).				_____
	* Reissue claims in excess of 20 and over original patent. **Reissue independent claims over original patent			TOTAL =	\$1076.00

## 6. Small entity status:

- a. ☐ A small entity statement is enclosed, if (b) and (c) do not apply.
- b. ☐ A small entity statement was filed in the prior nonprovisional application and such status is still proper and desired.
- c. ☐ Is no longer claimed.

7. The Commissioner is hereby authorized to credit overpayments or charge deficiencies in the following fees to Deposit Account No. 19-0036:

- a. ☒ Fees required under 37 CFR § 1.16.
- b. ☒ Fees required under 37 CFR § 1.17.
- c. ☐ Fees required under 37 CFR § 1.18.

8. ☒ A check in the amount of \$ 790.00 to cover the filing fee is enclosed.9. ☒ Other: A Petition for the Extension of Time for the Parent Application, and a check in the amount of \$950.00 to cover the fee for a 3-month extension of time.☒ Other: Sequence Listing with identical paper copy and computer readable copy.**NOTE:** *The prior application's correspondence address will carry over to this CPA UNLESS a new correspondence address is provided below.***10. NEW CORRESPONDENCE ADDRESS**

<input type="checkbox"/> Customer Number or Bar Code Label <div style="border: 1px dashed black; padding: 10px; width: fit-content; margin: 10px auto;">         (Insert Customer No. or Attach bar code label here) </div>						or <input checked="" type="checkbox"/> New correspondence address below					
Name		STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.									
		Attorneys at Law									
Address		1100 New York Avenue, N.W.									
		Suite 600									
City	Washington	State	DC	Zip Code	20005-3934						
Country	U.S.A.	Telephone	(202) 371-2600	Fax	(202) 371-2540						

**11. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED**

Name (Print/Type)	Eric K. Steffe
Signature	<i>Eric K. Steffe</i>
Registration No. (Attorney/Agent)	36,688
Date	March 11, 1998

# PATENT APPLICATION FEE DETERMINATION RECORD

Effective October 1, 1997

Application or Docket Number

8/469641-CPA

## CLAIMS AS FILED - PART I

(Column 1)

(Column 2)

### SMALL ENTITY

TYPE ☐

OR

### OTHER THAN SMALL ENTITY

FOR	NUMBER FILED	NUMBER EXTRA
BASIC FEE		
TOTAL CLAIMS	33 minus 20 = *	13
INDEPENDENT CLAIMS	2 minus 3 = *	
MULTIPLE DEPENDENT CLAIM PRESENT		

RATE	FEE
	395.00
x\$11=	
x41=	
+135=	
TOTAL	

RATE	FEE
	790.00
x\$22=	286.
x82=	
+270=	
TOTAL	1076.

\* If the difference in column 1 is less than zero, enter "0" in column 2

## CLAIMS AS AMENDED - PART II

(Column 1)

(Column 2)

(Column 3)

### SMALL ENTITY

OR

### OTHER THAN SMALL ENTITY

AMENDMENT A		CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA
	Total	*	Minus	**	=
	Independent	*	Minus	***	=
	FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM				

RATE	ADDITIONAL FEE
x\$11=	
x41=	
+135=	
TOTAL ADDIT. FEE	

RATE	ADDITIONAL FEE
x\$22=	
x82=	
+270=	
TOTAL ADDIT. FEE	

AMENDMENT B		CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA
	Total	*	Minus	**	=
	Independent	*	Minus	***	=
	FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM				

RATE	ADDITIONAL FEE
x\$11=	
x41=	
+135=	
TOTAL ADDIT. FEE	

RATE	ADDITIONAL FEE
x\$22=	
x82=	
+270=	
TOTAL ADDIT. FEE	

AMENDMENT C		CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA
	Total	*	Minus	**	=
	Independent	*	Minus	***	=
	FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM				

RATE	ADDITIONAL FEE
x\$11=	
x41=	
+135=	
TOTAL ADDIT. FEE	

RATE	ADDITIONAL FEE
x\$22=	
x82=	
+270=	
TOTAL ADDIT. FEE	

\* If the entry in column 1 is less than the entry in column 2, write "0" in column 3.

\*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20."

\*\*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3."

The "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the appropriate box in column 1.